The study of cooperation is fundamental to the study of international relations. In the absence of a higher authority to enforce agreements, how do states manage to cooperate? This course examines theoretical approaches to conflict and cooperation in international relations, including the obstacles to cooperation under anarchy, and the possibility of surmounting those obstacles. The empirical focus of the course is on issues of cooperation in peace and security, for example, deterrence and crisis bargaining, the outbreak and conduct of war, war termination and maintaining peace, and alliance politics.

Prerequisites:

General familiarity with theories and literatures of international relations. Students who have not taken, or are not currently taking, the International Relations Field Survey (6801) should discuss with the instructor whether this course is appropriate.

Course Requirements:

Students are expected to come to class ready to discuss the week’s readings.

Twice during the semester each student will prepare a short memo (2-4 pages double-spaced) briefly outlining the major themes of the session, raising questions for discussion in class, and suggesting a few testable hypotheses on the week’s topic. These are due (to be posted to CourseWorks) no later than 5pm, the Monday before the relevant class.

The major assignment for the course is a research paper. The paper should present publishable-quality original research on a topic related to cooperation and security. A statement of your proposed research question and hypotheses is due February 23. Presentations will take place on April 13, 20, and 27. The final paper is due Monday, May 9.

Late assignments, including memos, will be penalized by one third grade per day (i.e., an A paper or memo turned in 1 day late is an A-, 2 days late a B+, etc.), except in cases of medical or family emergency.

The written research paper will count for 40% of the grade, its presentation in class for 20%, each memo 10%, and participation in class for the remaining 20%.

Requirements for R credit and auditors: the two memos and participation in class discussion (which requires doing the reading).
Readings
The following books (readings marked with an asterisk (*) in the syllabus) have been ordered at Book Culture Bookstore, on 112th St., between Broadway and Amsterdam. They are also available on reserve at Lehman.

ISBN: 0465021212

ISBN: 0231084412

ISBN: 9780231127639

ISBN: 0231115970

ISBN: 9780691136714

Kreps, Sarah *Coalitions of Convenience: US Military Intervention after the Cold War* (Oxford University Press, 2010)
ISBN: 9780199753802

ISBN: 0674537513

ISBN: 0691022402

ISBN 9780226650036

Reiter, Dan *How Wars End* (Princeton University Press, 2009)
ISBN: 9780691140605

ISBN: 0231116276

Journal articles and book chapters are available online through e-journals or through electronic reserves on CourseWorks.

I assume that some readings will be familiar to you from 6801, please review them for the relevant weeks.
Session 1. Introduction and Course Logistics
January 19

I. Cooperation Theory in International Relations

Session 2. The Evolution of Cooperation under Anarchy
January 26


Session 3. Information, Credibility and Signaling, and Domestic Politics
February 2


Session 4. Debates: Realism vs. Institutionalism, Offensive vs. Defensive Realism
February 9


*Baldwin, David ed, Neorealism and Neoliberalism*. Following chapters:
1 – Baldwin “Neoliberalism, Neorealism, and World Politics” (intro)
5 – Grieco “Anarchy and the Limits of Cooperation”
7 – Snidal “Relative Gains and the Pattern of International Cooperation”
11 – Keohane “Institutional Theory and the Realist Challenge after the Cold War”
12 – Grieco “Understanding the Problem of International Cooperation”


Montgomery, Evan Braden “Breaking out of the Security Dilemma: Realism, Reassurance, and the Problem of Uncertainty” *International Security* 31:2 (Fall 2006), pp. 151-85. (See also Correspondence in IS 32:1 (Summer 2007)).
Session 5. Collective Action, Order and the Construction of Cooperation and Conflict in the International System
February 16


Watts, Sir Arthur “The Importance of International Law” in Michael Byers, ed. The Role of Law in International Politics (Oxford University Press, 2000), pp. 5-16.


*Cronin, Bruce Community Under Anarchy: Transnational Identity and the Evolution of Cooperation (Columbia University Press, 1999) Chapters 1-2 and 6, pp. 3-38, 125-141. (review from 6801)


II. Cooperation in Security Affairs

Session 6. War as a Problem of Cooperation
February 23

** Research Proposal Due **


Reiter, Dan “Exploring the Bargaining Model of War” *Perspectives on Politics* 1:1 (March 2003), pp. 27-43.


Gartzke, Erik “War is in the Error Term” *International Organization* 53:3 (Summer 1999), pp.567-87 (review from 6801)


*Walter, Barbara and Jack Snyder, eds. *Civil Wars, Insecurity, and Intervention* (Columbia University Press, 1999). Chapters:

1 – Snyder & Jervis “Civil War and the Security Dilemma” (review from 6801)

8 – de Figueiredo & Weingast “The Rationality of Fear: Political Opportunism and Ethnic Conflict”


Session 7. Deterrence, Crisis Bargaining, and Reputation
March 2


*Paul, T.V., Patrick Morgan, and James J. Wirtz, eds., Complex Deterrence: Strategy in the Global Age* (University of Chicago Press, 2009), chapters 1-6, 9, 12.
Session 8. Arms, Arms Control and the Conduct of War
March 9


*Axelrod, Evolution of Cooperation. Chapter 4 “Live & Let Live in Trench Warfare in WWI.”


Spring Break
Session 9. Negotiation and War Termination
March 23


*Reiter, Dan *How Wars End* (Princeton University Press, 2009), chapters 1-4, one case study chapter, and chapter 11.


Stanley Elizabeth A, and John P. Sawyer “Multiple Paths to Ending War” *Journal of Conflict Resolution*, 53:5 (October 2009), pp. 651-676.
Session 10. Maintaining Peace
March 30


**Session 11. Cooperation among Allies**  
**April 6**


*Kreps, Sarah* *Coalitions of Convenience: US Military Intervention after the Cold War* (Oxford University Press, 2010), chapters 1-3, 6-8.


**Session 12-14. Research Paper Presentations**  
**April 13, April 20, April 27**

**Final paper is due Monday May 9, by 5pm**
Selected Additional Readings

Theory:
Axelrod and Keohane in Baldwin ed Neorealism and Neoliberalism.
Keohane “Neoliberal Institutionalism: A Perspective on World Politics” and “International Institutions: Two Approaches” in International Institutions and State Power (Westview 1989).
Bearce, David and Stacy Bondanella “Intergovernmental Organizations Socialization and Member-State Interest Convergence ”International Organization 61:4 (October 2007), pp. 703-733.


War:


Deterrence and Reputation:


Danilovic, Vesna “The Sources of Threat Credibility inExtended Deterrence” Journal of Conflict Resolution 45:3 (June 2001), pp. 341-69.

George, Alexander and Richard Smoke Deterrence in American Foreign Policy (Columbia University Press, 1974).


Gelpi, Christopher “Crime and Punishment: The Role of Norms in Crisis Bargaining”


Conduct of War and Arms Control:


Price, Richard and Nina Tannenwald “Norms and Deterrence, the Nuclear and Chemical Weapons Taboo” in Katzenstein, Peter, ed. The Culture of National Security


Gaubatz, Kurt “Changing Interests and Persistent Rules: The Protection of Non-Combatants in War” unpublished manuscript.


War Termination and Maintaining Peace:


Gartner, Scott “I’m OK, You’re OK, Let’s Fight: An Organizational and Game Theoretic Model of War Termination”

Goemans, Hein *War and Punishment: The Causes of War Termination and the First*
World War (Princeton University Press, 2000). Chapters 1-3, skim one or two case studies.

Ikle, Fred Every War Must End (Columbia University Press, 1971).


Alliances:


Additional Topics:

Rapprochement and end of the Cold War:
Chapter 7 “De-escalating Conflicts” pp. 181-222
Deutsch, Morton Resolution of Conflict 1973 chapter 13 “Factors Influencing the Reduction of Conflict” pp. 351-400
Sanctions:


