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Robert L. Tortoriello is Senior Counsel to Cleary Gottlieb Steen & Hamilton LLP, located in New York. He joined the Firm in 1974, was a Partner from 1982 through 2015, and became Senior Counsel in 2016.

Mr. Tortoriello's practice focused on domestic and foreign bank expansion into all types of non-bank services and securities-related businesses, and on cross-border transactions and initiatives. His work included extensive counseling with respect to judicial, statutory, regulatory and administrative compliance issues, financial institution mergers, acquisitions, joint ventures, strategic alliances and restructurings, private equity, derivative product and capital markets activities, and domestic and international securities offerings.

Mr. Tortoriello counseled major financial institutions with respect to Congressional inquiries related to a range of banking practices, and with respect to administrative, regulatory and related enforcement proceedings and investigations by the banking regulators, the Financial Crimes Enforcement Network (FinCEN), the Office of Foreign Assets Control (OFAC) and federal and state enforcement agencies.

Mr. Tortoriello lectured and is widely published on bank-related legal and regulatory developments. He is the author of numerous publications, as set forth below.

Mr. Tortoriello also served on the New York City Financial Services Advisory Committee, and served on the Banking Law Committee of the Association of the Bar of the City of New York.

Mr. Tortoriello is currently an Adjunct Professor of Political Science at Columbia University, teaching American Constitutional History.

Education:

St. Peter's University, 1971

AB in History and Economics within the Honors Program, *summa cum laude*.

Valedictorian.

Phi Beta Kappa Award; College Awards for Exceptional Academic Performance in the College of Arts and Sciences, Exceptional Academic Performance in the Social Science Curriculum, and Outstanding Performance in the Field of Economics.

Harvard Law School, 1974

JD, *magna cum laude*

Robert L. Tortoriello

Selected Publications:

Guide to Bank Underwriting, Dealing and Brokerage Activities" (Thomson Reuters/Glasser LegalWorks), 2018-1997 (twenty-two editions).

"Operational Risk Management in Global Banking Organizations - A Legal and Compliance Perspective", *The International Who's Who of Banking Lawyers*, 2008.

"FERC [Federal Energy Regulatory Commission] Regulation of Banks Proposing to Qualify as Power Marketers", *Banking Report*, 2007.

"Banks' Securities Activities in the U.S.", *The IFLR Banking Guide*, 2006.

"Financial Modernization: the Gramm-Leach-Bliley Act", *Journal of International Financial Markets*, 2000.

"Selected Current Issues Affecting Bank and Bank Holding Company Underwriting, Dealing and Brokerage Activities", *Prentice Hall Law & Business Inc.*, 1996-1991 (six editions).

"Bank Loan Sale Activities: Selected Banking and Securities Law Considerations", *The Trading and Securitization of Senior Bank Loans* (Probus Publishing Co.), 1992.

"Foreign Bank Acquisitions of U.S. Banking Institutions", *Regulation of Foreign Banks* (Butterworth Legal), 1991.

"Glass-Steagall Act: Current Issues Affecting Bank Underwriting, Dealing and Brokerage Activities", *Prentice Hall Law & Business*, 1990-1985 (six editions).

"Structuring a Friendly Bank Acquisition: Corporate, Securities and Related Considerations", *Practicing Law Institute*, 1989-1983 (five editions).

"Legal Considerations Affecting Foreign Bank Acquisitions of United States Banks", *University of Illinois Law Review*, 1988.

Selected Honors and Distinctions:

The Best Lawyers in America - Banking & Finance, Corporate, Derivatives, and Financial Services Regulation.

Chambers Global - "Senior Statesman" in Banking & Finance.

Chambers USA - "Distinguished Senior Statesman" in Financial Services Regulation: Banking -- Compliance, and Enforcement & Investigations.

The Guide to the World's Leading Banking Lawyers - Financial Institutions.

The Legal 500 U.S. - Named to Inaugural U.S. Hall of Fame, Financial Services: Regulatory.

Who's Who Legal - Banking - One of the five "most highly regarded individuals" in North America.

Lectures:

Mr. Tortoriello has lectured on judicial, statutory, regulatory, administrative and historical developments before the Association of the Bar of the City of New York, the Federal Deposit Insurance Corporation (FDIC), the Financial Markets Association (FMA), the Institute of International Bankers (IIB), the New York County Lawyers Association, the Practicing Law Institute, and the Securities Industry and Financial Markets Association (SIFMA).

Robert L. Tortoriello

Trusteeships:

Trustee, St. Peter's University, 2014-present

Trustee, Montclair Art Museum, 2015-present; Vice President, 2016-present

Trustee, Montclair Public Library Foundation, 2018-present

Finance Council, St. Cassian's Roman Catholic Church, 2015-present

Trustee/Advisory Trustee, The Montclair Kimberley Academy, 2000-2022

Trustee, Township of Montclair (NJ) Adult School, 1990-1997