DEMOCRACY, AUTHORITARIANISM AND REGIME CHANGE

Political Science, G8428
Columbia University, Spring 2013

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Office: IAB 717
Office Hours: Tuesday, 2-4

Time: Thursday, 4.10-6.00pm
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COURSE OVERVIEW

The formal and informal procedures that determine access to high political office vary greatly across countries and over time. Scholars of comparative politics have tended to study political regimes by explaining why some countries are democratic and others are not. In addition to surveying the large literature on democratization this seminar explores political regimes in a more general way. This course is intended for PhD students preparing for their qualifying exams.

The readings assigned address the following questions: Why are some regimes democratic while others are not? Why do some leaders create public legitimating ideologies instead of relying primarily on force or cooptation? Why do some non-democratic political leaders govern through institutions while others rely on networks of individuals who are personally beholden to them? Why are some ruling elites in non-democratic regimes broader than others? What role do elections that are unlikely to change the identity of the head of state play in non-democratic regimes?

REQUIREMENTS AND EVALUATION

The requirements are as follows:

- *Class participation – 20% of the final grade for the class.*

- *Referee reports – One due on or before March 1st and one due after – 15% each*
  Each student will submit two referee reports. The reports ought to start with a brief summary of the paper followed by a justification of your recommendation (publish, revise and resubmit, or reject). The reports should include a detailed discussion of the rigor and plausibility of the theory advanced and/or an evaluation of the quality of the evidence provided. Because constructive criticism is an important part of our profession, these reports ought to include suggestions on how the paper can be improved. Finally, reports ought to include a discussion of whether the paper makes an important contribution to debates in a research area of general interest. Reports should be 1.5 to 2 single-spaced pages long and students will post them on Courseworks at 9am on the day the paper is being discussed in class.

- *Presentation of research proposal – 10%*
  Each student must make a presentation at the end of the semester describing a proposed research project.

- *Final Paper – 40%*
  Students must write a research paper based on the topic of their presentation.

LOGISTICS

There is no course reader for this class. All readings are available online or on the class website on Courseworks.

READINGS

Session 1 (1/24): Introduction

Session 2 (1/31): Structural Correlates of Regime Type I: Economic

Acemoglu, Daron, and James A. Robinson. 2006. *Economic Origins of Dictatorship and Democracy* Cambridge: Cambridge University Press, Chapters 1-2

Haber, Stephen, and Victor Menaldo. 2011. Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse. *American Political Science Review* 105 (01):1-26.

Haggard, Stephan, and Robert R. Kaufman. 2012. Inequality and Regime Change: Democratic Transitions and the Stability of Democratic Rule. *American Political Science Review* 106 (03):495-516.

Huber, Evelyne, Dietrich Rueschemeyer, and John D. Stephens. 1993. The Impact of Economic Development on Democracy. *The Journal of Economic Perspectives* 7 (3):71-86.

Luebbert, Gregory. 1987. Social Foundations of Political Order in Interwar Europe. *World Politics* July 1987.

Recommended

Boix, Carles. 2003. *Democracy and Redistribution*. New York: Cambridge University Press, Introduction & Chapter 1.

Przeworski, Adam, Michael E. Alvarez, Jose Antonio Cheibub, and Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press, Chapter 2

Lipset, Seymour M. 1959. Some Social Requisites of Democracy: Economic Development and Legitimacy. *American Political Science Review* 53 (1):69-105.

Session 3 (2/7): Structural Correlates of Regime Type II: Social and Cultural

Berman, Sherri. 1997. Civil Society and the Collapse of the Weimar Republic. *World Politics* 49 (3):401-29.

Jamal, Amaney A. 2007. *Barriers to Democracy: The Other Side of Social Capital in Palestine and the Arab World*. New York: Cambridge University Press, Chapters 1 & 4.

Putnam, Robert D. 1993. *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton, NJ: Princeton University Press, Chapters 1 & 4

Stepan, Alfred. 2001. The World's Religious Systems and Democracy: Crafting the Twin Tolerations In *Arguing Comparative Politics*. New York: Oxford University Press.

Fish, Steven. 2002. Islam and Authoritarianism. *World Politics* 55 (1):4-37.

Session 4 (2/14): The Extension of the Franchise in the West

Collier, Ruth Berins. 1999. *Paths Toward Democracy: The Working Class and Elites in Western Europe and South America*. New York: Cambridge University Press, Chapter 1-2

Lizzeri, Alessandro, and Nicola Persico. 2004. Why Did the Elites Extend the Suffrage? Democracy and the Scope of Government with An Application to Britain's 'Age of Reform'. *Quarterly Journal of Economics* 119 (2):707-765

Llavador, Humberto, and Robert J. Oxoby. 2005. Partisan Competition, Growth, and the Franchise. *Quarterly Journal of Economics* 120 (3):1155-1192.

Przeworski, Adam. 2009. Conquered or Granted? A History of Suffrage Extensions. *British Journal of Political Science* 39 (02):291-321.

Ticchi, Davide, and Andrea Vindigni. 2006. On Wars and Political Development: The Role of International Conflicts in the Democratization of the West. *Working Paper*.

Recommended

Acemoglu, Daron, and James A. Robinson. 2000. Why Did the West Extend the Franchise? Democracy, Inequality, and Growth in Historical Perspective. *The Quarterly Journal of Economics* 115 (4):1167-1199.

Engerman, Stanley L. , and Kenneth L. Sokoloff. 2001. The Evolution of Suffrage Institutions in the New World. *NBER Working Paper* 8512.

Session 5 (2/21): Authoritarian Institutions

Herb, Michael. 1999. *All in the Family: Absolutism, Revolution, and Democracy in the Middle Eastern Monarchies*. Albany: State University of New York Press, Chapters 1-2

Boix, Carles, and Milan Svolik. 2013. The Foundations of Limited Authoritarian Government: Institutions and Power-sharing in Dictatorships. *Journal of Politics*.

Haber, Stephen. 2006. Authoritarian Government In *The Oxford Handbook of Political Economy*, edited by B. Weingast and D. Wittman. New York: Oxford University Press

Kershaw, Ian. 1993. 'Working Towards the Führer.' Reflections on the Nature of the Hitler Dictatorship. *Contemporary European History* 2 (2):103-118.

Rosberg, James H. 1995. Roads to the Rule of Law: The Emergence of an Independent Judiciary in Contemporary Egypt, Political Science, Massachusetts Institute of Technology, Cambridge, MA.

Recommended

Besley, Timothy, and Masayuki Kudamatsu. 2007. Making Autocracy Work. *Working Paper*.

Huntington, Samuel P. 1970. Social and Institutional Dynamics of One-Party Systems. In *Authoritarian Politics in Modern Society: The Dynamics of Established One-Party Systems*, edited by S. P. Huntington and C. H. Moore. New York: Basic Books.

Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder, CO: Lynne Rienner, Introduction & Chapter 1, pp. 1-65.

Slater, Dan. 2003. Iron Cage in an Iron Fist: Authoritarian Institutions and the Personalization of Power in Malaysia. *Comparative Politics* 36 (1):81-101.

Session 6 (2/28): Representation in Authoritarian Regimes

Gandhi, Jennifer. 2008. *Political Institutions under Dictatorship*. Cambridge, MA: Cambridge University Press., Chapters 2-3.

Levitsky, Steven, and Lucan Way. 2003. Autocracy by Democratic Rules: The Dynamics of Competitive Authoritarianism in the Post-Cold War Era. In *Mapping the Great Zone: Clientelism and the Boundary between Democratic and Democratizing*. Columbia University, New York.

Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. Cambridge: Cambridge University Press, Chapters 1 and 2.

Malesky, Edmund, and Paul Schuler. 2011. Nodding or Needling: Analyzing Delegate Responsiveness in an Authoritarian Parliament. *American Political Science Review* 104 (3):1-21.

Smith, Benjamin B. 2005. Life of the Party: The Origins of Regime Breakdown and Persistence under Single-Party Rule. *World Politics* 57 (3):421-451.

Recommended

Lust-Okar, Ellen. 2006. Elections under Authoritarianism: Preliminary Lessons from Jordan. *Democratization* 13 (3):456-471.

Session 7 (3/7): The Birth and Death of Regimes

Haggard, Stephen, and Robert R. Kaufmann. 1997. The Political Economy of Democratic Transitions. *Comparative Politics* 29 (3):263-283.

Kuran, Timur. 1991. Now out of Never: The Element of Surprise in the East European Revolution of 1989. *World Politics* 44 (1):7-48.

Lohmann, Susan. 1994. The Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig East Germany, 1989-91. *World Politics* 47 (1):42-101.

Kricheli, Ruth, Yair Livne, and Beatriz Magaloni. 2011. Taking to the Streets Theory and Evidence on Protests under Authoritarianism *Working Paper. Stanford University*.

Przeworski, Adam. 1991. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*. New York: Cambridge University Press., Prologue and Chapters 1 & 2.

Recommended

Rustow, Dankwart A. 1970. Transitions to Democracy: Toward a Dynamic Model. *Comparative Politics* 2 (3):337–63.

O'Donnell, Guillermo A. 1986. *Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies*. Baltimore, MD: Johns Hopkins University Press, Chapters 1-4

Weingast, Barry. 1997. The Political Foundations of Democracy and the Rule of Law. *American Political Science Review* 91(2):245-263.

Session 8 (3/14): Regime Stability: The Use of Force

Nordlinger, Eric A. 1977. *Soldiers in Politics: Military Coups and Governments*. Englewood Cliffs, NJ: Prentice Hall, Chapter 4.

Remmer, Karen L. 1991. *Military Rule in Latin America*. Boulder, CO: Westview Press, Chapters 1 & 2.

Roessler, Philip. 2011. The Enemy Within: Personal Rule, Coups, and Civil War in Africa. *World Politics* 63 (02):300-346.

Snyder, Jack. 2000. *From Voting to Violence: Democratization and Nationalist Conflict* New York WW Norton

& Company Inc., Chapter 1.

Wantchekon, Leonard. 2004. The Paradox of "Warlord" Democracy: A Theoretical Investigation. *American Political Science Review* 98 (1):17-33.

Wood, Elisabeth Jean. 2001. An Insurgent Path to Democracy: Popular Mobilization, Economic Interests and Regime Transition in South Africa and El Salvador. *Comparative Political Studies* 34 (8):862-888.

Spring Break (3/21):

Session 9 (3/28): Regime Stability: Purchasing Support

Blaydes, Lisa. 2011. *Elections and Distributive Politics in Mubarak's Egypt*. New York, NY Cambridge University Press.

Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith. 2002. Political Institutions, Policy Choice and the Survival of Leaders *British Journal of Political Science* 32 (4):559-590.

Mares, Isabela, and Matthew E. Carnes. 2009. Social Policy in Developing Countries. *Annual Review of Political Science* 12:93-113.

Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. Cambridge: Cambridge University Press, Chapters 2-4.

No Class (4/4):

Session 10 (4/11): Regime Stability: Hearts and Minds

Allen, William. 1984. *The Nazi Seizure of Power: The Experience of a Single German Town, 1922-1945*. Rev. ed. New York: F. Watts, Chapters 13, 14, & 17.

Geddes, Barbara, and John Zaller. 1989. Sources of Popular Support for Authoritarian Regimes. *American Journal of Political Science* 33 (2):319-347.

Scott, James C. 1992. *Domination and the Arts of Resistance: Hidden Transcripts*. New Haven, CT: Yale University Press, Chapters 3 &4.

Wedeen, Lisa. 1999. *Ambiguities of Domination: Politics, Rhetoric, and Symbols in Contemporary Syria*. Chicago, IL: University of Chicago Press, Chapters 1, 2 & 8.

Session 11 (4/18): Foreign Intervention

Corstange, Daniel, and Nikolay Marinov. 2012. Taking Sides in Other People's Elections: The Polarizing Effect of Foreign Intervention. *American Journal of Political Science* 56 (3):655-670.

Easterly, William , Shanker Satyanath, and Daniel Berger. 2008. Superpower Interventions and their Consequences for Democracy: An Empirical Inquiry. *Working Paper. New York University*.

Wright, Joseph. 2009. How Foreign Aid Can Foster Democratization in Authoritarian Regimes. *American Journal of Political Science* 53 (3):552-571.

Hyde, Susan. 2011. International Dimensions of Elections. In *The Dynamics of Democratization: Dictatorship, Development, and Diffusion*, edited by N. J. Brown. Baltimore, MD: Johns Hopkins University Press.

TBA

Session 12 (4/28): Constitutional Design and Democratic Stability

Cheibub, Jose Antonio, and Fernando Limongi. 2002. Democratic Institutions and Regime Survival: Parliamentary and Presidential Democracies Reconsidered. *Annual Review of Political Science* 5:151-179.

Taagepera, Rein. 2002. Designing Electoral Rules and Waiting for an Electoral System to Evolve. In *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*, edited by A. Reynolds. Oxford Oxford University Press, pp. 248-266

Horowitz, Donald L. 2002. Constitutional Design: Proposals versus Processes. In *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*, edited by A. Reynolds. Oxford Oxford University Press, pp. 15-36

Mainwaring, Scott, and Matthew Shugart. 1997. Presidentialism and Democracy in Latin America: Rethinking the Terms of the Debate. In *Presidentialism and Democracy in Latin America*, edited by S. Mainwaring and M. Shugart. Cambridge: Cambridge University Press, pp. 12-54.

Filippov, Mikhail, Peter C. Ordeshook, and Olga Shvetsova. 2004. *Designing Federalism: A Theory of Self-Sustainable Federal Institutions*. New York: Cambridge University Press, Chapter 1.

Stepan, Alfred. 1999. Federalism and Democracy. *Journal of Democracy* 10 (4):19-34.

Session 13 (5/2): Presentations